

PERIODIC DISCLOSURES

FORM NL-37-DOWN GRADING OF INVESTMENTS

Company Name & Code: ECGC LTD (124)

Statement as on: September 30, 2020

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

No	Name of the Security	COI	Face Value/Amount (Rs in crs)	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade
A. During the Quarter¹								
1	8.93% PNB 2022 (ERST WHIILE ORIENTAL BANK OF COMMERCE LIMITED)	ORAD	5.00	05-Dec-12	ICRA	AA+	AA-	14-Aug-2020
2	8.15% PUNJAB NATIONAL BANK 2029	ORAD	25.00	30-Dec-19	ICRA	AA+	AA-	14-Aug-2020
As on Date								
1	9.40% Tata Power Co. Ltd 2022	IODS	5.00	09-Apr-13	CRISIL	AA	AA-	17-Oct-2013
2	9.35% STEEL AUTHORITY OF INDIA LTD 2026	OBPT	5.00	20-Sep-11	CARE	AA+	AA-	2-Aug-2017
3	8.93% PNB 2022 (ERST WHIILE ORIENTAL BANK OF COMMERCE LIMITED)	ORAD	5.00	05-Dec-12	ICRA	AA+	AA-	14-Aug-2020
4	9.60% HINDALCO INDUSTRIES LIMITED 2022	EPBT	5.00	05-Sep-12	CRISIL	AA+	AA	30-Jun-2017
5	9.55% HINDALCO INDUSTRIES LIMITED 2022	EPBT	5.00	30-Apr-12	CRISIL	AA+	AA	30-Jun-2017
6	9.15% TATA STEEL LIMITED 2021	EPBT	5.00	18-Apr-13	CARE	AA+	AA	18-Jan-2017
7	9.15% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	10.00	02-Mar-10	CARE	AAA	D	17-Sep-2018
8	9.20% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	5.00	02-Jul-14	CARE	AAA	D	17-Sep-2018
9	9.82% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IORD	10.00	31-Jan-12	CARE	AAA	D	17-Sep-2018
10	9.80% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2022	IORD	10.00	12-Jun-12	CARE	AAA	D	17-Sep-2018
11	9.10% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2020	IORD	5.00	31-Jan-13	CARE	AAA	D	17-Sep-2018
12	9.95% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2019	IORD	10.00	13-Feb-14	CARE	AAA	D	17-Sep-2018
13	9.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2021	IORD	10.00	20-Feb-14	CARE	AAA	D	17-Sep-2018
14	9.50% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	10.00	01-Aug-14	CARE	AAA	D	17-Sep-2018
15	9.55% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	10.00	20-Aug-14	CARE	AAA	D	17-Sep-2018
16	9.00% INFRASTRUCTURE LEASING & FINANCIAL SERVICES 2024	IORD	25.00	31-Dec-14	CARE	AAA	D	17-Sep-2018
17	10.30% YES BANK LIMITED 2021	ORAD	5.00	25-Jul-11	CARE	AA+	D	3-Apr-2020
18	9.90% YES BANK LIMITED 2022	ORAD	25.00	25-Apr-13	CARE	AA+	D	3-Apr-2020
19	10.25% AMTEK AUTO LTD 2015	OLDB	5.00	23-May-13	ICRA	AA	D	20-May-2015
20	8.94% CANFIN HOMES LTD 2024	HTDN	10.00	05-Mar-15	India Rating	AAA	AA	18-Dec-2019
21	7.89% CANFIN HOMES LTD 2022	HTDN	15.00	29-Aug-17	India Rating	AAA	AA	18-Dec-2019
22	8.67% PUNJAB AND SIND BANK 03 12 2029	ORAD	20.00	25-Nov-19	CARE	AA	AA-	23-Apr-2020
23	8.15% PUNJAB NATIONAL BANK 2029	ORAD	25.00	30-Dec-19	ICRA	AA+	AA-	14-Aug-2020

<https://www.ecgc.in>

Dated: November 25, 2020

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04